

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

SEGAL

Serial No. 09/997,855

Filed: November 29, 2001

For: Mechanical Apparatus and  
Method for Dilating and  
Delivering a Therapeutic Agent to  
a Site of Treatment

Examiner: Unknown

Group Art Unit: 3763

Attorney Docket: 70802.01

San Diego, California

Date: 09/25/02

L. Parks  
11-5-02  
#5/Pier  
Amitt.A  
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TECHNOLOGY CENTER R3700

CERTIFICATE OF MAILING

*I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to the Assistant Commissioner for Patents, Box Non-Fee Amendments, Washington, D.C. 20231 on September 25, 2002.*

Signed:

*Michael Klicpera*

Name typed or printed: Michael E. Klicpera

**PRELIMINARY AMENDMENT**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir/Madam:

This document is being submitted as a preliminary amendment. No office actions have been received in this case. Amendment is to be made as follows: